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CONFIRMATION NO. 3671

| SERIAL NUMBER | FILING or 371(c) DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. |
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| 09/851,848 | 05/09/2001 RULE | 705 | 3691 | CF/033 |

APPLICANTS

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**** CONTINUING DATA *********** FOREIGN APPLICATIONS *********** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ****

07/05/2001

| Foreign Priority claimed | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | Met after OA | STATE OR COUNTRY | SHEETS DRAWINGS | TOTAL CLAIMS | INDEPENDENT CLAIMS |
|---|---|-----------------|---------------------|--------------------|-----------------|-----------------------|
| 35 USC 119(a-d) conditions met | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | NJ | 6 | 31 | 6 |
| Verified and /OLABODE AKINTOLA/ Acknowledged Examiner's Signature | | Initials | | | | |

ADDRESS

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TITLE

Systems and methods for controlling traders from manipulating electronic trading markets

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|------------------------------------|---|---|
| FILING FEE RECEIVED 1148 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
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